ORIGINAL ARTICLE

Antifungal susceptibility of molecularly confirmed *Aspergillus* species from clinical samples

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Abstract

Invasive aspergillosis is the second most common invasive human mycosis but susceptibility data of Aspergillus species is limited. Antifungal treatment of aspergillosis is often done empirically without knowing the true susceptibility. Therefore, we aimed to determine antifungal susceptibility of Aspergillus species isolated from various clinical specimens over a 1-year period. We identified 28 Aspergillus isolates by sequencing the internal transcribed spacer (ITS) and β -tubulin genes and performed antifungal susceptibility testing on these isolates using Sensititre YeastOne. The isolates were identified as Aspergillus niger (60.7%), A. fumigatus (21.4%), A. flavus (10.7%), A. chevalieri (3.6%) and A. tubingensis (3.6%). Based on the European Committee on Antimicrobial Susceptibility Testing (EUCAST) Antifungal Clinical Breakpoint for Aspergillus spp., 16/17 (94.1%) A. niger isolates were susceptible to amphotericin B, all six isolates (100%) of A. fumigatus were susceptible to amphotericin B, itraconazole and voriconazole, but only 5/6 (83.3%) A. fumigatus were susceptible to posaconazole. Meanwhile, all three (100%) A. flavus isolates were susceptible to itraconazole. There are no other breakpoints established by the EUCAST for other antifungal-species combinations. In conclusions, Aspergillus niger remains the most commonly isolated species from clinical specimens and Aspergillus isolates at our centre are still largely susceptible to amphotericin B, echinocandins and most azoles. This information is valuable in guiding antifungal therapy in the treatment of aspergillosis.

Keywords: Aspergillus, aspergillosis, antifungal, susceptibility, resistance

INTRODUCTION

Aspergillus species are ubiquitous in our environment and can be found especially in soil and decaying plant materials. Although most *Aspergillus* species are harmless, some of them are harmful especially to immunocompromised patients by causing allergic reactions and invasive diseases. They are one of the most common pathogenic moulds isolated from many hospitals around the world. Globally, there were approximately three million cases of chronic pulmonary aspergillosis and 2.5 million cases of invasive aspergillosis that occurred each year.¹ Further, the aetiological agents of invasive aspergillosis in Asia are no longer predominated by A. fumigatus and A. *flavus*.^{2,3} Emergence of rare *Aspergillus* species including Aspergillus species complexes and cryptic species has been reported in tropical and subtropical regions of Asia.^{2,4} In general, laboratory support for mycology is weak in Asia, particularly for galactomannan detection and therapeutic drug monitoring. Although the treatment algorithm of invasive aspergillosis in Asia is similar to the ones in the west, some clinicians find it financially challenging to acquire some of the antifungal drugs for therapy.⁵ In view of these, there is a pressing need to know the susceptibility patterns of Aspergillus isolates so that a more targeted approach to therapy can be instituted. Targeted therapy

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could minimize unnecessary cost and adverse effects related to the use of antifungal agents. In addition, reports on emergence of antifungal resistance among *Aspergillus* species have made antifungal susceptibility testing more desirable.⁶ Despite this, antifungal susceptibility testing of *Aspergillus* is not routinely done and hence, susceptibility profiles are not usually available in many hospitals. Therefore, we conducted this study to gain more insights into the susceptibility profiles of *Aspergillus* species.

MATERIALS AND METHODS

Ethics Committee Permission

Since this study only examined fungal isolates and did not involve humans or animals or any treatment intervention, no ethical issues were brought up and this study was approved by the institutional Medical Research and Ethics Committee on 16 March 2017 (No. 5/2017).

Study site and design

We conducted a prospective cross-sectional study at a tertiary-level teaching hospital in Kuala Lumpur, Malaysia. This is a 1000-bedded medical centre with various specialities including general intensive care, haematology and bone marrow transplant unit.

Aspergillus isolates

We collected all isolates of *Aspergillus* species that were cultured on Sabouraud dextrose agar from various clinical specimens that were sent to the microbiology laboratory from October 2016 to September 2017. The isolates were examined macroscopically and microscopically by scotch tape and lactophenol cotton blue mounts, but the final genus and species identities were confirmed by molecular methods (ITS regions and β -tubulin gene sequencing).

Molecular identification

DNA was extracted from various species of *Aspergillus* using ZR Fungal/Bacterial DNA MiniPrep Kit (Zymo Research, California, USA), which utilizes the bead beating system during the lysis step. The internal transcribed spacer (ITS) and β -tubulin gene were amplified according to previous reports using a pair of universal primers of ITS5/ITS4 and Bt2a/Bt2b, respectively.^{7.8} Amplifications were performed separately using MyTaq HS mix (Bioline, London, UK) and were accomplished in a total volume of 25 μ l in the presence of 0.2 μ M of each primer set. The polymerase chain reaction (PCR) was performed

for 35 cycles with an initial denaturation step of 1 min at 95 °C in a Mastercycler Gradient (Eppendorf, Hamburg, Germany). Each cycle consisted of 15 seconds at 95 °C for denaturation, followed by 15 seconds at 56 °C for annealing and 10 seconds at 72 °C for the extension step. PCR products were purified using QIAquick PCR Purification kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol. The purified products were concentrated to a final volume of 30 μ l and sequenced. The sequence analysis was performed using MEGA software (Version 6.0, Arizona, USA). The sequences were compared pairwise using the BLASTN search program and were aligned with the sequences of related species retrieved from GenBank.

Susceptibility testing

We performed antifungal susceptibility testing on each Aspergillus isolate by using Sensititre YeastOne YO10 (Trek Diagnostic, UK) broth microdilution method according to the manufacturer's instructions. Briefly, conidia from freshly cultured colonies were mixed in sterile saline and vortexed to get a homogenised suspension. We adjusted the turbidity to 0.5 McFarland standards with a Sensititre Nephelometer, to give an approximate inoculum density of $0.6 - 5 \times 10^6$ cfu/mL. Sensititre plates were inoculated within 5 hours of removal from the pouch and incubated at 35°C in a non-CO₂ incubator. Sensititre YeastOne is a well-described colorimetric microdilution panel that contains dried antifungal agents in a 96-well microplate format and a colorimetric indicator with serial two-fold dilutions of nine antifungal in individual well. Results were read manually after 48-72 hours (depending on colour change in the control well). The minimal inhibitory concentration (MIC) was taken as the lowest antifungal concentration that inhibited fungal growth (the first blue well) while the minimum effective concentration (MEC) was taken as the lowest echinocandin concentration that produced stunted hyphal growth. Antifungal susceptibility was interpreted based on the European Committee on Antimicrobial Susceptibility Testing (EUCAST) Antifungal Clinical Breakpoint for Aspergillus spp. version 10.0, 2020.9

Data analysis

Data were analysed and reported descriptively. Species identification using the β -tubulin method was taken as the final species identification in reporting antifungal susceptibility profiles and

MIC/MEC analyses. MIC/MEC₅₀ and MIC/ MEC_{00} were defined as the concentrations of antifungal agents that inhibited 50% and 90% of the isolates, respectively. The geometric mean was defined as mean or average, which indicates the central tendency or typical value of a set of numbers by using the product of their values. The geometric mean MIC/MECs were calculated by finding the n^{th} root of the product of *n* numbers, i.e., geometric mean MIC = $\sqrt{MIC1} \times MIC2 \times MIC3 \times \dots MICn$. If an MIC/MEC was very high and reported using 'more than' (>) sign, the value of the next higher double dilution was used in the calculation, e.g. if the MIC was >256 μ g/mL, 512 was used in the calculation of geometric mean MIC.

RESULTS

Twenty-eight Aspergillus species were isolated from various clinical specimens including sputum, tissue, bronchoalveolar lavage and dermatology specimens. Based on β -tubulin identification, the most common species was *A*. *niger* (17/28, 60.7%), followed by *A*. *fumigatus* (6/28, 21.4%), *A*. *flavus* (3/28, 10.7%), *A*. *chevalieri* (1/28, 3.6%) and *A*. *tubingensis* (1/28, 3.6%).

Antifungal susceptibility testing was performed using Sensititre YeastOne against nine antifungal agents, including amphotericin B, anidulafungin, caspofungin, micafungin, fluconazole, itraconazole, voriconazole, posaconazole and flucytosine. The MIC distributions of individual isolates are shown in Table 1. Further analyses were performed to look at antifungal MIC/MEC ranges, MIC/ MEC₅₀, MIC/MEC₉₀ and geometric mean MIC/ MECs to A. niger and all Aspergillus species (Table 2). Analyses of other Aspergillus species were not performed due to the small number of isolates present in each species (less than ten isolates), as the results would not be reliable. For amphotericin B, MIC₅₀ and MIC₉₀ values against A. niger and all Aspergillus spp. ranged from 1 to $2 \mu g/mL$, respectively. Meanwhile for the echinocandins, the MEC₅₀ and MEC₉₀ values for both A. niger and all Aspergillus spp. ranged from 0.008-0.06 µg/mL and 0.015-0.06 µg/mL, respectively. Among the azoles, fluconazole exhibited markedly elevated MIC₅₀ and MIC₉₀ against all Aspergillus species, with values of 256 and >256 μ g/mL, respectively. For the other azoles, viz. itraconazole, voriconazole and posaconazole, the MIC_{50} and MIC_{90} against A.

niger and all *Aspergillus* spp. were much lower, ranging from 0.25-0.5 μ g/mL and 0.12-0.5 μ g/ mL, respectively. Finally, flucytosine MIC₅₀ and MIC₉₀ were 0.5 and 1 μ g/mL, respectively for *A. niger*; and 1 and 4 μ g/mL respectively for all *Aspergillus* spp. (Table 2).

Based on the EUCAST Antifungal Clinical Breakpoint for Aspergillus spp.,⁹ 16/17 (94.1%) A. niger isolates were susceptible to amphotericin B. One isolate (5.9%) of A. niger was noted to be resistant to amphotericin B, with a MIC of 2 μ g/mL. There are no breakpoints for other antifungal agents against A. niger established by the EUCAST. As for A. fumigatus, all six isolates were susceptible to amphotericin B, itraconazole and voriconazole, but only 5/6 isolates (83.3%) were susceptible to posaconazole. The remaining isolate of A. fumigatus had a posaconazole MIC of 0.25 µg/mL, which according to the EUCAST breakpoints, falls between the susceptible and resistant categories called the 'Area of Technical Uncertainties or ATU'. Meanwhile, all three (100%) A. flavus isolates were susceptible to itraconazole. No breakpoints are available for the other antifungal agents.9 The overall susceptibility pattern is depicted in Figure 1.

DISCUSSION

Aspergillus susceptibility testing is not routinely performed in many hospitals. However, in view of the increasing population at risk of invasive aspergillosis, availability of several antifungal agents, and emerging azole resistance, it is desirable to know the susceptibility of these pathogens to guide a more targeted antifungal therapy.¹⁰ This in turn may potentially reduce morbidity and mortality from aspergillosis, as well as the unnecessary costs and adverse effects of antifungal agents.¹¹

Analyses of MIC ranges, MIC₅₀, MIC₉₀ and geometric mean MICs are important in determining trends in antimicrobial MICs against pathogens. They are also useful when comparing susceptibility data with other institutions or other geographical regions. Subtle increases in MICs (MIC creep) may not be noticeable if regular surveillance is not in place. As this was our first-time performing Aspergillus susceptibility testing, we could not really determine any trends of antifungal MIC at our centre. When researchers in Spain compared the MICs of voriconazole against 400 clinical strains of Aspergillus from the pre-voriconazole (1999 to 2002) and post-voriconazole (2003 to 2007) periods, they found that the mean MICs

No	Aspergillus species	Antifungal MICs/MECs (µg/mL)									
		AMB	AND	CAS	MIC	FLU	ITR	VOR	POS	5FC	
1.	A. chevalieri	1	0.015	0.03	0.008	32	0.03	0.06	0.015	16	
2.	A. flavus	2	0.015	0.015	0.008	128	0.25	0.25	0.12	1	
3.	A. flavus	1	0.015	0.008	0.06	64	0.25	0.25	0.25	0.25	
4.	A. flavus	2	0.015	0.03	0.008	64	0.12	0.12	0.12	2	
5.	A. fumigatus	1	0.03	0.03	0.008	>256	0.5	0.5	0.12	4	
6.	A. fumigatus	1	0.015	0.03	0.008	128	0.25	0.12	0.06	4	
7.	A. fumigatus	0.5	0.03	0.03	0.008	>256	0.5	0.5	0.25	4	
8.	A. fumigatus	1	0.015	0.015	0.008	256	0.25	0.12	0.12	1	
9.	A. fumigatus	0.5	0.015	0.015	0.008	256	0.25	0.25	0.12	1	
10.	A. fumigatus	1	0.015	0.015	0.008	256	0.25	0.12	0.12	1	
11.	A. niger	1	0.06	0.12	0.03	256	0.5	0.12	0.12	0.5	
12.	A. niger	2	0.03	0.06	0.008	256	0.5	0.25	0.25	1	
13.	A. niger	1	0.015	0.06	0.008	256	0.5	0.25	0.25	0.5	
14.	A. niger	1	0.015	0.015	0.008	256	0.12	0.12	0.06	0.25	
15.	A. niger	1	0.015	0.03	0.008	256	0.5	0.12	0.12	1	
16.	A. niger	1	0.015	0.06	0.015	256	0.25	0.25	0.25	0.5	
17.	A. niger	1	0.015	0.03	0.008	128	0.25	0.12	0.03	4	
18.	A. niger	1	0.015	0.008	0.008	64	0.06	0.06	0.015	1	
19.	A. niger	1	0.015	0.06	0.008	256	0.5	0.25	0.25	0.25	
20.	A. niger	1	0.03	0.06	0.015	256	0.25	0.25	0.12	0.5	
21.	A. niger	0.5	0.015	0.03	0.008	256	0.5	0.25	0.25	1	
22.	A. niger	1	0.015	0.03	0.008	256	0.5	0.25	0.25	1	
23.	A. niger	1	0.015	0.06	0.008	>256	0.5	0.12	0.25	0.5	
24.	A. niger	1	0.015	0.06	0.008	256	0.5	0.25	0.25	0.5	
25.	A. niger	1	0.03	0.03	0.008	256	0.5	0.25	0.25	1	
26.	A. niger	1	0.015	0.03	0.008	256	0.25	0.12	0.12	0.5	
27.	A. niger	1	0.06	0.12	0.015	128	0.25	0.12	0.25	0.5	
28.	A. tubingensis	1	0.015	0.06	0.015	128	0.5	0.25	0.25	0.5	

 TABLE 1: Minimum inhibitory concentrations (MICs) or minimum effective concentrations (MECs) of various antifungal agents against Aspergillus species as determined by the Sensititre YeastOne broth microdilution method

AMB, amphotericin B; AND, anidulafungin; CAS, caspofungin; MIC, micafungin; FLU, fluconazole; ITR, itraconazole; VOR, voriconazole; POS, posaconazole; 5FC, flucytosine

Antifungal agent	Aspergillus spp.*	MIC/MEC range	MIC/MEC ₅₀	MIC/MEC ₉₀	Geometric mean †
Amphotericin B	A. niger	0.5-2	1	1	1
	All Aspergillus	0.5-2	1	2	1
Anidulafungin	A. niger	0.015-0.06	0.015	0.06	0.02
	All Aspergillus	0.015-0.06	0.015	0.03	0.02
Caspofungin	A. niger	0.008-0.12	0.06	0.12	0.04
	All Aspergillus	0.008-0.12	0.03	0.06	0.03
Micafungin	A. niger	0.008-0.03	0.008	0.015	0.01
	All Aspergillus	0.008-0.06	0.008	0.015	0.01
Fluconazole	A. niger	64->256	256	256	227
	All Aspergillus	32->256	256	>256	195
Itraconazole	A. niger	0.06-0.5	0.5	0.5	0.33
	All Aspergillus	0.03-0.5	0.25	0.5	0.29
Voriconazole	A. niger	0.06-0.25	0.25	0.25	0.17
	All Aspergillus	0.06-0.5	0.25	0.25	0.18
Posaconazole	A. niger	0.015-0.25	0.25	0.25	0.14
	All Aspergillus	0.015-0.25	0.12	0.25	0.13
Flucytosine	A. niger	0.25-4	0.5	1	0.67
	All Aspergillus	0.25-16	1	4	0.95

TABLE 2: Antifungal MIC/MEC ranges, MIC/MEC 50, MIC/MEC 90 and geometric mean MIC/MECs (µg/mL) to Aspergillus niger (n=17) and all Aspergillus species (n=28)

MEC, minimum effective concentration; MIC, minimum inhibitory concentration

* Aspergillus spp. of more than nine isolates only were included in these analyses.

† If the MIC/MEC was preceded by 'more than' sign (>), the next higher MIC/MEC dilution was taken to calculate the geometric mean MIC/MECs (MIC/MEC_{GEO}), e.g. 512 was used in the calculation when the MIC/MEC was >256.



FIG. 1: Antifungal susceptibility profiles of *Aspergillus* species according to the European Committee on Antimicrobial Susceptibility Testing (EUCAST) Clinical Breakpoints (S, susceptible; R, resistant; ATU, area of technical uncertainty)

of strains from the post-voriconazole period were slightly higher (0.39 versus 0.57g/ml; P < 0.001).¹² Although in this case, all strains remained susceptible to voriconazole, detection of an MIC creep may provide an early warning sign of an emerging resistance.

Although the Sensititre method was developed according to the Clinical and Laboratory Standards Institute (CLSI) reference methods, we have opted to use the breakpoints produced by the EUCAST to interpret the MICs/MECs because the CLSI has not largely determined the antifungal breakpoints for Aspergillus species. In general, Aspergillus isolates at our centre are still susceptible to amphotericin B and voriconazole. This is important because these two antifungal agents are used commonly at our centre for treating invasive aspergillosis. Emergence of resistance to these antifungal agents may force us to use echinocandins, which are more expensive and may cause financial strains to our institution and patients. We rarely had to resort to echinocandins as salvage therapies of invasive aspergillosis. This lack of exposure probably explains the low echinocandins MEC₅₀ and MEC₉₀ values against our Aspergillus isolates. The EUCAST has stated that fluconazole testing is not recommended for Aspergillus spp. and some Candida isolates due to fluconazole intrinsic resistance.9 However, since fluconazole is already present in the Sensititre panel, our study confirmed this recommendation when the fluconazole MICs ranged from 32 to $>256 \mu g/mL$ against all our Aspergillus isolates. Other azoles, in general, showed relatively low MICs to all our isolates. However, one particular isolate of A. fumigatus had a posaconazole MIC of 0.25 μ g/mL, which is categorised under 'Area of Technical Uncertainties' or 'ATU'. According to the EUCAST description for posaconazole susceptibility that falls under the ATU category, the decision to report posaconazole depends on the isolate susceptibility to itraconazole. If the isolate is susceptible to itraconazole, then report posaconazole as susceptible and add the following comment: "The MIC is 0.25 mg/L and thus one dilution above the susceptible breakpoint due to overlapping wild type and non-wild type populations". If the isolate is not susceptible to itraconazole, then report posaconazole as resistant and refer to reference laboratory for CYP51A sequencing and confirmation of MICs.9 The ATUs serve as warnings to laboratory staff that there is an uncertainty that needs to be addressed before reporting antifungal

susceptibility results to clinical colleagues. The ATU is not to be conveyed to clinical colleagues except under special circumstances and only as part of a discussion about therapeutic alternatives in difficult cases.9 As for flucytosine, the MICs ranged from 0.25 to 4 μ g/mL for all isolates except for a single isolate of A. chevalieri, with flucytosine MIC of 16 µg/mL. Aspergillus chevalieri is a cryptic Aspergillus species that has been associated with opportunistic cutaneous aspergillosis.¹³ Some cryptic Aspergillus species have been reported to be multidrug resistant.14 However, similar to our findings, a few studies reported low antifungal MIC ranges against A. chevalieri such as amphotericin B (0.03-0.5 μ g/mL), echinocandins (0.015-0.125 μ g/mL), itraconazole (0.03-0.5 μ g/mL), posaconazole (0.015-0.03 µg/mL), voriconazole (0.12-0.5 μ g/mL), isavuconazole (0.125 μ g/mL) and terbinafine (0.03-0.12 μ g/mL). However, there was no result on flucytosine in these studies.^{15,16} Another cryptic species identified in our study was A. tubingensis. This isolate is morphologically indistinguishable from A. niger. Excluding fluconazole, our isolate showed low MICs across the board (amphotericin B 1 μ g/ mL, echinocandins <0.06 μ g/mL, azoles <0.5 μ g/mL and flucytosine 0.5 μ g/mL), which is similar to another study in China.¹⁷ Meanwhile, other studies reported elevated itraconazole and voriconazole MICs of >1 μ g/mL.^{18,19,20}

Despite several shortcomings in performance and interpretation of antifungal susceptibility testing for moulds, continuous data collection is vital in building a large enough antifungal database to develop reliable susceptibility patterns, epidemiological cut-off values and clinical breakpoints, to better correlate with clinical response.¹⁰ Findings from our study could add to the data collection of Aspergillus antifungal susceptibility. Another strength of this study is that it could provide a baseline of antifungal susceptibility for detecting emergence of antifungal resistance particularly in the Southeast Asian region. This study also noted occurrence of cryptic Aspergillus species in clinical samples that could have different susceptibility patterns which in turn, could affect treatment outcomes. Even though our study is limited in being single-centred and having a small sample size, data gained from this study provides important insights into antifungal susceptibility of Aspergillus species, particularly for Malaysia. Another limitation was the inability to study only isolates from sterile sites. In other words, some of these isolates could potentially be contaminants, especially those that were cultured from dermatological specimens. However, *Aspergillus* cultivation from normally sterile specimens such as blood and cerebrospinal fluid is very rare. Therefore, susceptibility data from any *Aspergillus* isolates would be extremely valuable.

In conclusion, *Aspergillus niger* remains the most commonly isolated *Aspergillus* species from clinical specimens. *Aspergillus* isolates at our centre are still largely susceptible to amphotericin B and have low minimum inhibitory concentrations to echinocandins and most azoles. Data obtained from this study may be useful in guiding antifungal therapy in the treatment of aspergillosis.

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Conflict of interest: The authors declare no conflicts of interest.

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